

Search by company, person, product, reference number or postcode

Search the Register

Advanced Search by business type and current status Exchanges, markets and prohibited individuals

Trading Point of Financial Instruments UK Limited

Status: **Authorised** (Reference number: 705428)

This is a firm that is given permission to provide regulated products and services

- The [Financial Ombudsman Service](#) may be able to consider a dispute with this firm. Find out [how to complain](#).
- The [Financial Services Compensation Scheme \(FSCS\)](#) may be able to compensate customers if this firm fails. See [how to claim compensation](#).
- [Read about how this firm may be able to hold or control money from its customers](#)

Contact Details

Basic Details

Type

Regulated

Companies House Number This is a unique number given to a UK company or limited liability partnership
09436004

Current status

Authorised A firm that is given permission to provide regulated products and services.

Effective Date This is the date from which the Current Status has applied.
03/05/2016

Tied Agent A tied agent can act on behalf of another firm or individual (its principal) that is regulated in another country in the [European Economic Area \(EEA\)](#).
This firm is not a tied agent.

Money Laundering Certain firms must protect themselves against money laundering and comply with the Money Laundering Regulations of 2007
This firm is not registered under the [Money Laundering Regulations](#).

Insurance Mediation A firm that offers or sells insurance products and services
This firm is unable to undertake insurance mediation.

Regulators

This table shows the former and current regulators of this firm, in the UK and in other [European Economic Area \(EEA\)](#).

Regulator Name	Effective From	Effective To
Financial Conduct Authority	03/05/2016	
Financial Conduct Authority	03/05/2016	

Individuals

Permission

An authorised firm will have permission to provide certain regulated products and services.

Client Money

This firm must protect the money it holds and/or controls on behalf of customers. It cannot lend this money or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

Requirements

Requirements are rules placed on the firm that apply to all of the financial services activities that it can operate.

This firm has no requirements

Agreeing to carry on a regulated activity

Limitation

Limited to carry on regulated activities.

Arranging (bringing about) deals in investments

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract

Dealing in investments as agent

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract

Limitation

[Rights/interests - cont ltd to cont based inv](#)
[Rights/interests - sec ltd to securities listed](#)

Dealing in investments as principal

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Rights to or interests in investments (Contractually Based Investments)
Rights to or interests in investments (Security)
Rolling spot forex contract

Limitation

[Limited Licence - matched principal broker](#)

Making arrangements with a view to transactions in investments

Customer Type

Eligible Counterparty
Professional
Retail (Investment)

Investment Type

Contract for Differences (excluding a spread bet and a rolling spot forex contract)
Rights to or interests in investments (Contractually Based Investments)
Rolling spot forex contract

Passport Out

Trading/brand names

A firm may use several trading names or brand names under the same permission. This list shows the names that have been reported to us, but you may want to contact the firm for full details

Current names:

Status	Name	Effective From
Registered	Trading Point of Financial Instruments UK Limited	04/06/2015
Trading	XM	22/06/2016

Exclusions

The Payment services exclusion allow business to provide certain services without needing to be regulated however, these businesses are required to notify the FCA of their activity.

Report a scam or firm

See [what to do if you have been scammed](#) or think you have been contacted by fraudsters.

Contact us

[Contact the FCA](#) for firm or consumer queries and Register help.

[Contact the PRA](#) for prudential queries about banks, building societies, credit unions, insurance firms.